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#14



File No.: C525 0152  
GNM/TAR/bds

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Assistant Commissioner For Patents  
Box Patent Application  
Washington, D. C. 20231

Dear Sir:

Transmitted herewith for filing in regard to the patent application of:

Inventor(s): RICHARDSON, Douglas  
Title: **METHOD FOR CROSS-CONNECTING OPTICAL SIGNALS AT HIGH SPEED**  
Serial No.: 09/835543  
Filed: 17 April 2001  
Examiner: KIM, Richard H. Art Unit: 2882

Enclosed are:

- ☒ Information Disclosure Statement;
- ☒ Form PTO-1449 and copies of documents listed thereon.
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. 02-1037.

Respectfully submitted,  
OYEN WIGGS GREEN & MUTALA

By: \_\_\_\_\_

Gavin N. Manning  
Registration No. 36,412

Date: 19 March 2003

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Inventor(s): RICHARDSON, Douglas  
Title: **METHOD FOR CROSS-CONNECTING OPTICAL SIGNALS AT  
HIGH SPEED**  
Serial No.: 09/835543  
Filed: 17 April 2001  
Examiner: KIM, Richard H.  
Date: 18 March 2003

Art Unit: 2882

Commissioner for Patents  
Washington, D.C. 20231

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Dear Sir:

**Disclosure Statement Pursuant to 37 C.F.R. §1.56**

Preliminary to the examination of this application, the applicant wishes to draw the Examiner's attention to the references listed on the attached copy of form PTO-1449. For the Examiner's convenience, copies of each of the listed references are submitted herewith.

**REMARKS**

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

I hereby certify that each item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,  
OYEN WIGGS GREEN & MUTALA

By:

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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Inventor(s): RICHARDSON, Douglas

Title: **METHOD FOR CROSS-CONNECTING OPTICAL SIGNALS AT HIGH SPEED**

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**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
[Form PTO-1449 (Modified)]**

United States Patent Documents

Examiner	ID	Patent No.	Issue Date	Inventor(s)	Class	Sub-Cl	Filing Date
	US: 1	6097859	1 Aug. 2000	Solgaard et al.			
	US: 2	5999303	7 Dec. 1999	Drake			
	US: 3	5877876	2 Mar. 1999	Birdwell			
	US: 4	6453083	17 Sept. 2002	Husain et al.			

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance **and** not considered. Include copy of this form with next communication to applicant.